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## CRITERIA OF MUTUAL ADJOINTNESS OF PROPER EXTENSIONS OF LINEAR RELATIONS

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In the paper the role of an initial object is played by a couple  $(L, L_0)$  of closed linear relations in a Hilbert space  $H$ , such that  $L_0 \subset L$ . Each closed linear relation  $L_1(M_1)$  such that  $L_0 \subset L_1 \subset L$  (respectively  $L^* \subset M_1 \subset L_0^*$ ) is said to be a proper extension of  $L_0(L^*)$ . In the terms of abstract boundary operators i.e. bounded linear operator  $U(V)$  acting from  $L(M)$  to  $G$  ( $G$  is an auxiliary Hilbert space) such that the null space of  $U(V)$  contains  $L_0(L^*)$ , criteria of mutual adjointness for mentioned above relations  $L_1$  and  $M_1$  are established.

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В работе роль исходного объекта играет пара  $(L, L_0)$  замкнутых линейных отношений в гильбертовом пространстве  $H$ , причем  $L_0 \subset L$ . Замкнутое линейное отношение  $L_1(M_1)$  такое, что  $L_0 \subset L_1 \subset L$  (соответственно  $L^* \subset M_1 \subset L_0^*$ ) называется собственным расширением отношения  $L_0(L^*)$ . В терминах абстрактных краевых операторов, т.е. линейных ограниченных операторов действующих из  $L(M)$  в  $G$  ( $G$  — вспомогательное гильбертово пространство), многообразия нулей которых содержат  $L_0(L^*)$ , установлены критерии взаимной сопряженности упомянутых выше отношений  $L_1$  и  $M_1$ .

**1. Introduction and basic notations.** The theory of linear relations in a Hilbert space was initiated by R. Arens ([1]) and has been developed by many mathematicians (see, for example [2–8] and the references therein). The present paper (as the majority of the mentioned above ones) is devoted to an application of the concept of a linear relation in the extension theory. The contents of the paper are as follows. Section 2 is devoted to the definition of a boundary pair. We also prove an abstract Lagrange formula in terms of boundary pairs there. Since Section 4 deals with proper extensions, we describe general form of a proper extensions in Section 3. In view of the results from Section 3, naturally arises the problem to establish a criterion of mutual adjointness of two proper extensions of linear relations. We consider this problem in Section 4.

In this paper we use the following notations:

$(\cdot | \cdot)_X$  is the inner product in a Hilbert space  $X$ ;

$D(T)$ ,  $R(T)$ ,  $\ker T$  are, respectively, the domain, range, and kernel of a (linear) operator  $T$ ;

$B(X, Y)$  is the set of linear bounded operators  $A: X \rightarrow Y$  such that  $D(A) = X$ ;

$A \downarrow E$  is the restriction of  $A$  to  $E$ ;

$1_X$  is the identity of  $X$ ;

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$AE = \{Ax: x \in E\}$ ;

$\oplus$  and  $\ominus$  are the symbols of the orthogonal sum and orthogonal complement, respectively; if  $A_i: X \rightarrow Y_i$ ,  $i \in \{1, \dots, n\}$ , are linear operators then the notation  $A = A_1 \oplus \dots \oplus A_n$  means that  $Ax = (A_1x, \dots, A_nx)$  for every  $x \in X$ ;

$\bar{E}$  is the closure of  $E$ ;  $X^2 = X \oplus X$ ;

$T^*$  is the operator (relation) adjoint to an operator (relation)  $T$ .

Let us recall that a linear manifold  $T \subset X \oplus X$ , where  $X$  is a Hilbert space, is called a linear relation on  $X$ . The adjoint  $T^*$  is defined as follows

$$T^* = \{\hat{z} = (z, z') \in X^2: \forall \hat{y} = (y, y') \in T \quad (y' | z)_X = (y | z')_X\}.$$

It is clear that  $T^* = (\hat{J}T)^\perp$ , where  $\hat{J}(y, y') = (-iy', iy)$ .

The role of the initial object is played by a couple  $(L, L_0)$  of closed linear relations such that  $L_0 \subset L \subset H^2$  where  $H$  is a fixed complex Hilbert space equipped with the inner product  $(\cdot | \cdot)$ .

Put  $M_0 = L^*$ ,  $M = L_0^*$ ,  $\hat{H}_L = L \ominus L_0$ ,  $\hat{H}_M = M \ominus M_0$  and denote by  $\hat{P}_L, \hat{P}_M$  the orthogonal projections  $L \rightarrow \hat{H}_L$ ,  $M \rightarrow \hat{H}_M$ , respectively. Each closed linear relation  $L_1(M_1)$  such that  $L_0 \subset L_1 \subset L$  (respectively  $M_0 \subset M_1 \subset M$ ) is said to be a proper extension of  $L_0(M_0)$ . It is easy to see that

$$\hat{H}_M = \hat{J}\hat{H}_L, \tag{1}$$

where

$$\hat{J} = \begin{pmatrix} 0 & -i1_H \\ i1_H & 0 \end{pmatrix}, \tag{2}$$

(see [9] for example). For the case of linear operators it was trivial because  $\hat{J}$  is a unitary operator ([10]).

## 2. Boundary pair. Abstract Lagrange formula.

**Definition 1.** Let  $G$  be an (auxiliary) Hilbert space and  $U \in B(L, G)$ . The pair  $(G, U)$  is called a *boundary pair* for  $(L, L_0)$  if  $R(U) = G$ ,  $\ker U = L_0$ . In this case  $G$  and  $U$  are said to be a boundary space and a total boundary operator, respectively.

**Proposition 1.** A boundary pair for  $(L, L_0)$  exists and is unique provided the following implication holds: if  $(G, U), (\hat{G}, \hat{U})$  are two boundary pairs for  $(L, L_0)$  then there exists a unique bijection  $E_L \in B(G, \hat{G})$  such that  $\hat{U} = E_L U$ .

*Proof.* Observe that  $(\hat{H}_L, \hat{P}_L)$  is a boundary pair for  $(L, L_0)$ . The uniqueness follows from the so called ‘‘Lemma on triple’’ [11] (see Remark 1).  $\square$

**Remark 1.** By the Lemma on triple we mean the following consequence of the Banach inverse operator theorem.

Let  $X, X_1, X_2$  be Banach spaces,  $A \in B(X, X_1)$ ,  $B \in B(X, X_2)$ ,  $R(A) = X_1$ ,  $\ker A \subset \ker B$ . Then there exists a unique  $C \in B(X_1, X_2)$  such that  $B = CA$ .

**Remark 2.** Suppose that  $G_L$  and  $G_M$  are boundary spaces for  $L$  and  $M$ , respectively. Then  $\dim G_L = \dim \hat{H}_L = \dim \hat{H}_M = \dim G_M$ . This follows from (1)–(2) and Proposition 1.

**Theorem 1.** Let  $(G_L, U)$  and  $(G_M, V)$  be boundary pairs for  $(L, L_0)$  and  $(M, M_0)$ , respectively. Then there exists a unique mapping  $E: G_L \rightarrow G_M$  satisfying the following requirements:

$$E \in B(G_L, G_M), \quad E^{-1} \in B(G_M, G_L) \quad (3)$$

and

$$\begin{aligned} \forall \hat{y} = (y, y') \in L, \forall \hat{z} = (z, z') \in M \\ (y' \mid z) - (y \mid z') = (i\hat{J}\hat{y} \mid \hat{z})_{H^2} = (EU\hat{y} \mid V\hat{z})_{G_M} = (U\hat{y} \mid E^*V\hat{z})_{G_L}. \end{aligned} \quad (4)$$

Conversely, if  $(G_L, U)$  is a boundary pair for  $(L, L_0)$ ,  $G_M$  is a boundary space for  $(M, M_0)$  (i.e.  $\dim G_M = \dim \hat{H}_M$ ) and an operator  $E$  satisfies conditions (3) then there exists a unique  $V \in B(M, G_M)$  such that  $(G_M, V)$  is a boundary pair for  $(M, M_0)$  and (4) holds.

*Proof.* In the case  $(G_L, U) = (\hat{H}_L, \hat{P}_L)$ ,  $(G_M, V) = (\hat{H}_M, \hat{P}_M)$  condition (4) is fulfilled with  $E = i\hat{J} \downarrow \hat{H}_L$ .

In fact, if  $y \in L_0$  or  $z \in M_0$ , then  $(i\hat{J}\hat{y} \mid z)_{H^2} = 0 = ((i\hat{J} \downarrow \hat{H}_L)\hat{P}_L\hat{y} \mid \hat{P}_M\hat{z})_{\hat{H}_M}$  (let us recall that  $\hat{J}L_0 = M^\perp$ ,  $\hat{J}L = M_0^\perp$ ). Now assume that  $\hat{y} \in \hat{H}_L$ ,  $\hat{z} \in \hat{H}_M$ . In view of (1) we obtain

$$(i\hat{J}\hat{y} \mid \hat{z})_{H^2} = (i\hat{J}\hat{P}_L\hat{y} \mid \hat{P}_M\hat{z})_{H^2} = ((i\hat{J} \downarrow \hat{H}_L)\hat{P}_L\hat{y} \mid \hat{P}_M\hat{z})_{\hat{H}_M}.$$

In the general case, taking into account Proposition 2, we see that there exist unique bijections  $E_L \in B(G_L, \hat{H}_L)$ ,  $E_M \in B(G_M, \hat{H}_M)$  satisfying the equalities  $\hat{P}_L = E_L U$ ,  $\hat{P}_M = E_M V$ . Thus (4) holds with  $E = E_M^*(i\hat{J} \downarrow \hat{H}_L)E_L$ .

To obtain the converse statement, we reverse the previous steps. Indeed, suppose that operators  $E, U$  (and therefore  $E_L = \hat{P}(U \downarrow \hat{H}_L)^{-1}$ ) are given and  $E_M$  is (the unique) solution of the latter equation. It is clear that the operator  $V = E_M^{-1}\hat{P}_M$  (and only it) possesses all the required properties.  $\square$

Formula (4) is called an abstract Lagrange formula.

**Theorem 2.** Let  $(G_L, U)$  and  $(G_M, V)$  be boundary pairs for  $(L, L_0)$  and  $(M, M_0)$ , respectively, and let operator  $E$  satisfy the conditions (3). The following statements are equivalent:

- i) for each  $\hat{y} = (y, y') \in L$  and  $\hat{z} = (z, z') \in M$  equality (4) holds;
- ii)  $U(-i\hat{J} \downarrow \hat{H}_M)V^* = E^{-1}$ ;
- iii)  $V^*EU \downarrow \hat{H}_L = i\hat{J} \downarrow \hat{H}_L$ ;
- iv)  $V(i\hat{J} \downarrow \hat{H}_L)U^* = (E^{-1})^*$ ;
- v)  $U^*E^*V \downarrow \hat{H}_M = -i\hat{J} \downarrow \hat{H}_M$ .

*Proof.* a) Preliminary remarks. Since  $U, V$  are normally solvable operators,  $U^*$  and  $V^*$  are normally solvable ones too (see [12]). Furthermore,  $R(U) = G_L$ ,  $\ker U = L_0$ . This yields  $\ker U^* = \{0\}$ ,  $R(U^*) = \hat{H}_L$ . Similar arguments show that  $\ker V^* = \{0\}$ ,  $R(V^*) = \hat{H}_M$ . Taking into account the Banach inverse operator theorem, we conclude that

$$(U^*)^{-1} \in B(\hat{H}_L, G_L), \quad (V^*)^{-1} \in B(\hat{H}_M, G_M).$$

b) ii)  $\Leftrightarrow$  iv). It follows from (1) that  $\hat{J} \downarrow \hat{H}_L \in B(\hat{H}_L, \hat{H}_M)$  is a bijection. Let us find  $(\hat{J} \downarrow \hat{H}_L)^* \in B(\hat{H}_M, \hat{H}_L)$ .

For each  $\hat{u} \in \hat{H}_L, \hat{v} \in \hat{H}_M$  we have

$$((\hat{J} \downarrow \hat{H}_L)\hat{u} \mid \hat{v})_{H^2} = (\hat{J}\hat{u} \mid \hat{v})_{H^2} = (\hat{u} \mid \hat{J}\hat{v})_{H^2} = (\hat{u} \mid (\hat{J} \downarrow \hat{H}_L)\hat{v})_{H^2},$$

that is,  $(\hat{J} \downarrow \hat{H}_L)^* = (\hat{J} \downarrow \hat{H}_M)$ .

In addition, for each,  $\hat{u} \in \hat{H}_L$  the equality  $(\hat{J} \downarrow \hat{H}_M)(\hat{J} \downarrow \hat{H}_L)\hat{u} = \hat{J}^2\hat{u} = \hat{u}$  holds. In other words,  $\hat{J} \downarrow \hat{H}_L, \hat{J} \downarrow \hat{H}_M$  are unitary operators and  $(\hat{J} \downarrow \hat{H}_M)^{-1} = \hat{J} \downarrow \hat{H}_L$ .

The equivalence  $ii) \Leftrightarrow iv)$  is proved.

c)  $iii) \Leftrightarrow v)$ . The proof of this equivalence is similar to the proof of the previous one.

d)  $i) \Leftrightarrow iii)$  Equality (4) holds for each  $\hat{y} \in L, \hat{z} \in M$  if it holds for  $\hat{y} \in \hat{H}_L, \hat{z} \in \hat{H}_M$ . But in this case the mentioned equality has the following form

$$((i\hat{J} \downarrow \hat{H}_L)\hat{u} \mid \hat{v})_{\hat{H}_M} = (V^*E(U \downarrow \hat{H}_L)\hat{u} \mid \hat{v})_{\hat{H}_M}.$$

Therefore,  $i) \Leftrightarrow iii)$ .

e)  $ii) \Leftrightarrow iii)$ . Since  $\hat{J} \downarrow \hat{H}_M$  and  $\hat{J} \downarrow \hat{H}_L$  are mutually adjoint and mutually inverse (unitary) operators and  $(U \downarrow \hat{H}_L)^{-1} \in B(G, \hat{H}_L)$  (it follows from the equalities  $R(U \downarrow \hat{H}_L) = G, \ker(U \downarrow \hat{H}_L) = \{0\}$  and the Banach inverse operator theorem), we obtain (taking into account the Preliminary remarks)

$$\begin{aligned} U(-i\hat{J} \downarrow \hat{H}_M)V^* = E^{-1} &\Leftrightarrow (U \downarrow \hat{H}_L)(-i\hat{J} \downarrow \hat{H}_M)V^* = E^{-1} \Leftrightarrow \\ &\Leftrightarrow (V^*)^{-1}(-i\hat{J} \downarrow \hat{H}_L)(\hat{U} \downarrow \hat{H}_L)^{-1} = E \Leftrightarrow V^*EU \downarrow \hat{H}_L = i\hat{J} \downarrow \hat{H}_L. \quad \square \end{aligned}$$

**Corollary 1.** Let  $G_1, G_2$  be Hilbert spaces,  $U_i \in B(L, G_i), i \in \{1, 2\}, G = G_1 \oplus G_2, U = U_1 \oplus U_2$ . Assume that  $(G, U)$  is a boundary pair for  $(L, L_0)$ . Then

- a) There exist unique  $\tilde{U}_1 \in B(M, G_2), \tilde{U}_2 \in B(M, G_1)$  such that  $(\tilde{G}, \tilde{U})$ , where  $\tilde{G} = G_2 \oplus G_1, \tilde{U} = \tilde{U}_1 \oplus \tilde{U}_2$ , is a boundary pair for  $(M, M_0)$  and

$$\begin{aligned} \forall \hat{y} \in L, \forall \hat{z} \in M \quad (i\hat{J}\hat{y} \mid \hat{z})_{H^2} &= (iJU\hat{y} \mid \tilde{U}\hat{z})_{\tilde{G}} = (U\hat{y} \mid -iJ^*\tilde{U}\hat{z})_G = \\ &= (U_1\hat{y} \mid \tilde{U}_2\hat{z})_{G_1} - (U_2\hat{y} \mid \tilde{U}_1\hat{z})_{G_2}, \end{aligned} \quad (5)$$

where  $J \in B(G, \tilde{G})$  is defined as follows  $\forall h_1 \in G_1, \forall h_2 \in G_2 \quad J(h_1, h_2) = (ih_2, -ih_1)$ , i.e.

$$J = \begin{pmatrix} 0 & i1_{G_2} \\ -i1_{G_1} & 0 \end{pmatrix}. \quad (6)$$

- b) Let  $(\tilde{G}, \tilde{U}) = (G_2 \oplus G_1, \tilde{U}_1 \oplus \tilde{U}_2)$  be a boundary pair for  $(M, M_0)$ . The following statements are equivalent:

- i) for each  $\hat{y} \in L, \hat{z} \in M$  equality (5) holds;
- ii)  $U(\hat{J} \downarrow \hat{H}_M)\tilde{U}^* = J^*$ ;
- iii)  $\tilde{U}^*JU \downarrow \hat{H}_L = \hat{J} \downarrow \hat{H}_L$ ;
- iv)  $\tilde{U}(\hat{J} \downarrow \hat{H}_L)U^* = J$ ;
- v)  $U^*J^*\tilde{U} \downarrow \hat{H}_M = \hat{J} \downarrow \hat{H}_M$ .

The proof of Corollary 1 can be obtained from Theorems 1 and 2 by substituting  $G_L = G, G_M = \tilde{G}, E = iJ$  in the corresponding formulas.

**Remark 3.** Since  $R(\tilde{U}^*) = \hat{H}_M$ , the expression  $\hat{J} \downarrow \hat{H}_M$  in equality *ii*) can be replaced by  $\hat{J}$ , therefore this equality is equivalent to the following system

$$U_1 \hat{J} \tilde{U}_1^* = 0, \quad U_1 \hat{J} \tilde{U}_2^* = i1_{G_1}, \quad U_2 \hat{J} \tilde{U}_1^* = -i1_{G_2}, \quad U_2 \hat{J} \tilde{U}_2^* = 0. \quad (7)$$

### 3. The general form of the proper extension of $L_0$ .

**Proposition 2.** Let  $G_i, U_i, \tilde{U}_i$  ( $i \in \{1, 2\}$ ) be as in Corollary 1 and  $L_1 \stackrel{def}{=} \ker U_1$ . Then  $L_1^* = \ker \tilde{U}_1$ .

*Proof.* The inclusion  $L_0 \subset L_1$  implies  $L_1^* \subset M$ . Further,  $L_1^* = \{\hat{z} \in H^2: \forall \hat{y} \in L_1 = \ker U_1 (i\hat{J}\hat{y} | \hat{z})_{H^2} = 0\}$ , consequently, (5) yields  $\ker \tilde{U}_1 \subset L_1^*$ . Furthermore, it follows from the first of equalities (7) that  $\tilde{U}_1 \hat{J} U_1^* = 0$ , therefore

$$L_1^* = \hat{J} L_1^\perp = \hat{J}(\ker U_1)^\perp = \overline{\hat{J}R(U_1^*)} = \overline{\hat{J}R(U_1^*)} = \ker \tilde{U}_1 = \ker \tilde{U}_1. \quad \square$$

**Theorem 3.** Assume that  $L_0 \subset L_1 = \bar{L}_1 \subset L$  and  $G$  is a boundary space for  $(L, L_0)$ . Then

a) there exists an orthogonal decomposition  $G = G_1 \oplus G_2$  and operators

$$U_1 \in B(L, G_1), \quad V_1 \in B(M, G_2) \quad (8)$$

such that

$$L_1 = \ker U_1, \quad L_1^* = \ker V_1, \quad (9)$$

and, as a result,

$$\ker U_1 \supset L_0, \quad \ker V_1 \supset M_0. \quad (10)$$

b) without loss of generality we may assume that

$$R(U_1) = G_1, \quad R(V_1) = G_2. \quad (11)$$

*Proof.* Let  $(G, U)$  be a boundary pair for  $(L, L_0)$ . Put

$$G_2 \stackrel{def}{=} \{U\hat{y}: \hat{y} \in L_1\} = \{(U \downarrow \hat{H}_L)\hat{y}: \hat{y} \in L_1 \ominus L_0\}.$$

Since  $U \downarrow \hat{H}_L$  is a homeomorphism  $\hat{H}_L \rightarrow G_2 \subset G$ ,  $G_2$  is a closed linear subspace of  $G$ . Put  $G_1 = G \ominus G_2$ ,  $U_i = P_i U$ , where  $P_i$  is the orthogonal projection  $G \rightarrow G_i$ , ( $i \in \{1, 2\}$ ), and denote by  $\tilde{U}_1, \tilde{U}_2$  the operators uniquely determined by  $U_1, U_2$  from (5).

To complete the proof, it is sufficient to substitute  $V_1 = \tilde{U}_1$  and apply Proposition 2.  $\square$

**4. Criteria of mutual adjointness.** Taking into account Theorem 3, we see that the following problem arises in a natural way.

Let

i)  $G = G_1 \oplus G_2$  be a boundary space for  $(L, L_0)$  and operators  $U_1, V_1$  satisfy conditions (8), (10);

ii)

$$L_1 = \ker U_1, \quad M_1 = \ker V_1 \quad (12)$$

(cf.(9)). The problem is to establish a criterion of mutual adjointness of  $L_1$ , and  $M_1$ .

Before solving the problem let us introduce the following notation

$$\begin{cases} X_1 = L_1 \ominus L_0, & X_2 = L \ominus L_1; \\ Y_1 = M_1 \ominus M_0, & Y_2 = M \ominus M_1. \end{cases} \quad (13)$$

It is clear that

$$\hat{H}_L = X_1 \oplus X_2, \quad \hat{H}_M = Y_1 \oplus Y_2, \quad (14)$$

$$L_0 \oplus X_1 = L_1 = \ker U_1, \quad M_0 \oplus Y_1 = M_1 = \ker V_1. \quad (15)$$

Taking into account (1) and (14), we see that

$$\hat{H}_L = \hat{J}\hat{H}_M = \hat{J}[Y_1 \oplus Y_2] = \hat{J}Y_1 \oplus \hat{J}Y_2. \quad (16)$$

**Lemma 1.**

$$M_1^* = L_0 \oplus \hat{J}Y_2 = L_0 \oplus \overline{\hat{J}R(V_1^*)}. \quad (17)$$

*Proof.* Applying (1) to the pair  $(M, M_1)$  (instead of  $(L, L_0)$ ), we obtain  $M_1^* = L_0 \oplus \hat{J}[M \ominus M_1]$ .

Taking into account (12), (13), we have  $Y_2 = M \ominus M_1 = M \ominus \ker V_1 = \overline{R(V_1^*)}$ .  $\square$

**Lemma 2.** *The following statements are equivalent:*

- i)  $L_1 \supset M_1^*$ ;
- ii)  $U_1 \hat{J}V_1^* = 0$ ;
- iii)  $\ker U_1 \supset L_0 \oplus \overline{\hat{J}R(V_1^*)}$ ;
- iv)  $X_1 \supset \hat{J}Y_2$ .

In each of the cases

$$L_1 \ominus M_1^* = \ker U_1 \ominus [L_0 \oplus \overline{\hat{J}R(V_1^*)}] = X \ominus \hat{J}Y_2. \quad (18)$$

*Proof.* Taking into account (14)–(17) and the inclusion  $\ker U_1 \supset L_0$ , we obtain

$$\begin{aligned} U_1 \hat{J}V_1^* = 0 &\Leftrightarrow \ker U_1 \supset \hat{J}R(V_1^*) \Leftrightarrow \ker U_1 \supset \overline{\hat{J}R(V_1^*)} \Leftrightarrow \ker U_1 \supset L_0 \oplus \overline{\hat{J}R(V_1^*)} \Leftrightarrow \\ &\Leftrightarrow L_1 \supset M_1^* \Leftrightarrow L_1 \ominus L_0 \supset M_1^* \ominus L_0 \Leftrightarrow X_1 \supset \hat{J}Y_2. \end{aligned}$$

Therefore, conditions i)–iv) are equivalent. Suppose that these conditions hold. From (15) and (17) equalities (18) are derived.

**Corollary 2.** *The following equivalences hold*

$$L_1 = M_1^* \Leftrightarrow \ker U_1 = L_0 \oplus \overline{\hat{J}R(V_1^*)} \Leftrightarrow X_1 = \hat{J}Y_2. \quad (19)$$

*Proof.* The corollary immediately follows from (18).  $\square$

**Lemma 3.** *Assume that equalities (11) hold and  $U_1 \hat{J}V_1^* = 0$ . Put  $\hat{U} = U_1 \oplus V_1 \hat{J}\hat{P}_L$ . Then  $R(\hat{U}) = G$ ,  $\ker \hat{U} = L_0 \oplus [X_1 \ominus \hat{J}Y_2]$ .*

*Proof.* Let us show that  $R(V_1 \hat{J} \hat{P}_L \downarrow R(V_1^*)) = G_2$ . For this purpose note that  $R(V_1 \downarrow R(V_1^*)) = R(V_1) = G_2$ , i.e.  $(\forall h \in G_2)(\exists f \in G_2): V_1 V_1^* f = h$ .

Put  $\hat{H}_L \ni y = \hat{J} V_1^* f$ . Taking into account the inclusion  $R(V_1^*) \subset \hat{H}_M$  and applying (1), we obtain  $U_1 y = U_1 \hat{J} V_1^* f = 0$ ,  $V_1 \hat{J} \hat{P}_L \hat{J} V_1^* f = V_1 \hat{J} \hat{J} V_1^* f = V_1 V_1^* f = h$ .

Thus  $R(V_1 \hat{J} \hat{P}_L \downarrow \ker U_1) = G_2$ . Then using Lemma 4.5.2 from [13] we see that

$$R(\hat{U}) = R(U_1) \oplus R(V_1 \hat{J} \hat{P}_L) = G_1 \oplus G_2 = G.$$

Furthermore,  $\ker U \supset L_0$  and (see Lemma 2)  $X_1 \supset \hat{J} Y_2$ . Moreover,  $X_1 \ominus \hat{J} Y_2 = X_1 \cap \hat{J} Y_1$ . Therefore, to complete the proof, it is sufficient to verify the equality

$$\ker U_1 \cap \ker V_1 \hat{J} \hat{P}_L \cap \hat{H}_L = X_1 \cap \hat{J} Y_1. \quad (20)$$

In order to prove (20) assume first that  $y \in X_1 \cap \hat{J} Y_1$ . Evidently,  $y \in \ker U_1 \cap \hat{H}_L$  and there exists  $z \in Y_1$  such that  $y = \hat{J} z$ . Thus we have  $V_1 \hat{J} \hat{P}_L y = V_1 \hat{J} \hat{P}_L \hat{J} z = V_1 z = 0$ , therefore  $y \in \ker V_1 \hat{J} \hat{P}_L$ .

Conversely, if  $y \in \ker U_1 \cap \ker V_1 \hat{J} \hat{P}_L \cap \hat{H}_L$  then  $y \in \ker U_1 \cap \hat{H}_L = X_1$ , therefore  $0 = V_1 \hat{J} \hat{P}_L y = V_1 \hat{J} y$ . In other words,  $\hat{J} y \in \ker V_1 \cap \hat{H}_M = Y_1$ , sequently  $y = \hat{J} \hat{J} y \in \hat{J} Y_1$ .  $\square$

**Corollary 3.** *Assume that  $\dim \hat{H}_L < \infty$  and equalities (11) hold. Then  $L_1 = M_1^*$  if and only if  $U_1 \hat{J} V_1^* = 0$ .*

*Proof.* Let  $\hat{U}$  be the operator from Lemma 3. This operator maps the finite-dimensional space  $\hat{H}_L$  onto a finite-dimensional space  $G$ . Moreover  $\dim \hat{H}_L = \dim G$  (see Remark 2) therefore  $X_1 \ominus \hat{J} Y_2 = \ker \hat{U} \downarrow \hat{H}_L = \{0\}$ . Now the proof follows from Lemma 2.  $\square$

**Remark 4.** In a general case the condition  $U_1 \hat{J} V_1^* = 0$  is necessary but not sufficient for the mutual adjointness of  $L_1$  and  $M_1$ .

**Theorem 4.** *Let  $(G, \Lambda)$ ,  $(\tilde{G}, \Pi)$ , where  $G = G_1 \oplus G_2$ ,  $\tilde{G} = G_2 \oplus G_1$ , be boundary pairs for  $(L, L_0)$  and  $(M, M_0)$ , respectively,  $E \in B(G, \tilde{G})$ ,  $E^{-1} \in B(\tilde{G}, G)$  and*

$$\forall \hat{y} \in L, \forall \hat{z} \in M \quad (i \hat{J} \hat{y} \mid \hat{z})_{H^2} = (E \Lambda \hat{y} \mid \Pi \hat{z})_{\tilde{G}} = (\Lambda \hat{y} \mid E^* \Pi \hat{z})_G.$$

Assume that  $A_1 \in B(G, G_1)$ ,  $B_1 \in B(\tilde{G}, G_2)$  and put

$$L_1 = \ker A_1 \Lambda = \{\hat{y} \in \hat{L} : A_1 \Lambda \hat{y} = 0\}, \quad (21)$$

$$M_1 = \ker B_1 \Pi = \{\hat{z} \in \hat{M} : B_1 \Pi \hat{z} = 0\}. \quad (22)$$

Then

i)  $L_1 \supset M_1^*$  if and only if

$$A_1 E^{-1} B_1^* = 0; \quad (23)$$

ii)  $L_1 = M_1^*$  if and only if  $\ker A_1 = \overline{R(E^{-1} B_1^*)}$ .

*Proof.* Put  $U_1 = A_1 \Lambda$ ,  $V_1 = B_1 \Pi$ . Theorem 4 implies

$$U_1(-i \hat{J} \downarrow \hat{H}_M) V_1^* = A_1 \Lambda(-i \hat{J} \downarrow \hat{H}_M) \Pi^* B_1^* = A_1 E^{-1} B_1^*,$$

in other words,

$$-i U_1 \hat{J} V_1^* = A_1 E^{-1} B_1^*. \quad (24)$$

- i) This item follows from (24) and Lemma 2.  
 ii) Applying Theorem 2 and Corollary 2 and taking into account (24) we obtain

$$\begin{aligned} L_1 = M_1^* &\Leftrightarrow \ker(A_1(\Lambda \downarrow \hat{H}_L)) = \widehat{JR}(\overline{\Pi^* B_1^*}) \Leftrightarrow \ker A_1 = (\Lambda \downarrow \hat{H}_L) \widehat{JR}(\overline{\Pi^* B_1^*}) \Leftrightarrow \\ &\Leftrightarrow \ker A_1 = \overline{R((\Lambda \downarrow \hat{H}_L) \widehat{J\Pi^* B_1^*})} \Leftrightarrow \ker A_1 = \overline{R(\Lambda \widehat{J\Pi^* B_1^*})} \Leftrightarrow \\ &\Leftrightarrow \ker A_1 = \overline{R(E^{-1} B_1^*)} = E^{-1} \overline{R(B_1^*)}. \end{aligned}$$

(recall that  $\Lambda \downarrow \hat{H}_L, \Pi \downarrow \hat{H}_M$  and  $E$  are homeomorphisms) □

**Corollary 4.** *Let, in addition to the conditions of Theorem 4,  $\dim \hat{H}_L < \infty$  and equalities (11) hold.*

*Then relations (21) and (22) are mutually adjoint if and only if  $A_1 E^{-1} B_1^* = 0$ .*

*Proof.* Use Corollary 3 and (24). □

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