

## ABSTRACT AND REFERENCES

## MATHEMATICS AND CYBERNETICS – APPLIED ASPECTS

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**CONSTRUCTION OF AN ANALYTICAL METHOD FOR LIMITING THE COMPLEXITY OF NEURAL-FUZZY MODELS WITH GUARANTEED ACCURACY (p. 6–13)****Borys Sytnik**Ukrainian State University of Railway Transport, Kharkiv, Ukraine  
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We have proposed an analytical method for limiting the complexity of neural-fuzzy models that provide for the guaranteed accuracy of their implementation when approximating functions with two or more derivatives. The method makes it possible to determine the required minimal number of parameters for systems that employ fuzzy logic, as well as neural models.

We have estimated the required number of neurons (terms) in a model, which ensure the accuracy required for the area of a model curve to approach the system one along the sections of function approximation. The estimate for an approximation error was obtained based on the residual members of decomposition, in the Lagrangian form, of areas of the approximated system function into a Maclaurin series. The results received make it possible to determine the required number of approximation sections and the number of neurons (terms) in order to ensure the assigned relative and absolute error of approximation.

We have estimated the required number of neurons (terms) that provide for the necessary accuracy of model implementation based on the maximum deviation between the system and model curves along the section of approximation. This makes it possible to select, depending on the assigned required accuracy, the number of terms of fuzzy variables, input and output variables, linguistic rules, coordinates of modal values along the axes of input and output variables.

To verify validity of the proposed solutions, we modeled the system curves in the Matlab/Simulink environment, which confirmed the guaranteed accuracy of their implementation in accordance with the analytical calculations reported earlier.

The results obtained could be applied in modern intelligent technical systems of management, control, diagnosis, and decision-making. Using the proposed methods for selecting and applying the minimal number of terms (neurons) would help reduce the required computing power in nonlinear systems.

**Keywords:** approximation, guaranteed accuracy, fuzzy logic, neural networks, imitation simulation.

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**INCREASING THE SHARE OF CORRECT CLUSTERING OF CHARACTERISTIC SIGNAL WITH RANDOM LOSSES IN SELF-ORGANIZING MAPS (p. 13–21)****Svitlana Shapovalova**National Technical University of Ukraine  
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Analysis of methods for optimizing algorithms of functioning of the Kohonen neural networks, self-organizing maps (SOM), in terms of training speed and percentage of correct clustering was made. Effective optimization of self-organizing maps was determined by the second criterion, the enhanced self-organizing incremental neural network (ESOINN). It was established that in the case of incomplete input signal, that is the signal with losses at unknown time points, the share of correct clustering is unacceptably low with any SOM algorithms, both basic and optimized.

The incomplete signal was represented as the input vector of the neural network, the values of which are represented by a single array, that is without taking into consideration conformity of the moments of losses to the current values and without the possibility of determining these moments. A method for determining conformance of the incomplete input vector to the input layer of neurons to increase percentage of correct recognition was programmed and proposed. The method is based on finding the minimum distance between the current input vector and the vector of weights of each neuron. To reduce operating time of the algorithm, it was proposed to operate not with individual values of the input signal but their indivisible parts and the corresponding groups of input neurons. The proposed method was implemented for the SOM and ESOINN. To prove effectiveness of implementation of the basic algorithm of the SOM, its comparison with existing counterparts of other developers was made.

A mathematical model was developed for formation of examples of complete signals of a training sample on the basis of reference curves of the second order and a training sample was generated. In accordance with the training sample, training of all neural networks implemented with and without the proposed method was made. A diagram of simulation of losses was developed and test samples were generated for computational experiments with incomplete signals.

On the basis of experiments, efficiency of the proposed method for classification in terms of incomplete input signal on the basis of self-organizing maps was proved both for implementations of the basic algorithm of SOM and ESOINN.

**Keywords:** self-organizing map, SOM, ESOINN, Kohonen neural networks, signal with losses, losses in a time series, classification in terms of the characteristic signal.

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- Self-Organizing Incremental Neural Network. Available at: <https://github.com/fukatani/soinn>
- Growing Self-Organizing Map. Available at: <https://github.com/philippludwig/pygsom>

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**ANALYSIS OF EFFICIENCY OF THE BIOINSPIRED METHOD FOR DECODING ALGEBRAIC CONVOLUTIONAL CODES (p. 22–30)**

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It has been shown that convolutional codes are widely used, along with various decoding methods, to improve the reliability of information transmission in wireless telecommunication systems. The general principles of synthesis and the parameters and algebraic non-systematic convolutional codes with arbitrary coding rate and maximum achievable code distance have been shown.

The basic stages of the bioinspired method for decoding algebraic convolutional codes using a random shift mechanism have been presented. It has been shown that the essence of the presented decoding method implies applying the procedure of differential evolution with the heuristically determined parameters. In addition, this method uses information about the reliability of the adopted symbols to find the most reliable basis for the generalized generator matrix. The mechanism of random shift for the modification of the accepted sequence is additionally applied for the bioinspired search based on various most reliable bases of a generalized generator matrix.

The research results established that the bioinspired method for decoding algebraic convolutional codes ensures greater efficiency compared with the algebraic decoding method in the communication channel with additive white Gaussian noise. Depending on the parameters of the algebraic convolutional code and the necessary error coefficient, the energy gain from encoding ranges from 1.6 dB to 3 dB. It was shown that the presented bioinspired decoding method can be used for convolutional codes with a large code constraint length.

In doing so, the presented method for decoding algebraic convolutional codes is less efficient than the Viterbi decoding method and turbo codes at a sufficient number of decoding iterations.

**Keywords:** wireless telecommunication systems, convolutional codes, algebraic structure, decoding, bioinspired search.

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#### DEVELOPMENT OF THE PROCEDURE FOR INTEGRATED APPLICATION OF SCENARIO PREDICTION METHODS (p. 31–38)

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The paper proposes a procedure for the integrated application of methods for scenario analysis and prediction, represented by graphs of the «tree» type. The task on analysis of risks in software projects has been considered, the cause of which are the possible programming errors that lead to failures in the operation of systems and software. The joint use of a failure tree and a probability tree makes it possible to generate the sequences of scripts for the implementation of an adverse event, whose main cause is possible defects or errors in software or data, as well as to assess the probabilities of their realization. Such an approach allows the identification of the overall result of the influence of certain risk-forming factors (defects) on the development of possible negative consequences (failures and malfunctions) or damage to the operation of complex software systems. This makes it possible to timely identify and propose effective mechanisms to manage software risk in order to reduce and eliminate them.

A procedure has been proposed for aggregating individual probabilistic expert assessments of the occurrence of a risk event. Such an approach makes it possible to obtain group expert estimates assessing the feasibility of a risk event based on the constructed system of random events into a generalized expert assessment. The probabilities of the occurrence of a risk event, thus obtained, are used when constructing a probability tree and calculating the ratios of probabilistic inference using it. Aggregation of individual expert

estimates is carried out by combining them based on a mathematical apparatus of the theory of evidence and the theory of plausible and paradoxical reasoning. It was established that in order to improve quality of the results of combining it is appropriate to establish an order for combining expert evidence and apply one of the rules of conflict redistribution as a combination rule.

Numerical calculations of the proposed procedure for integrated application of a failure tree and a probability tree are provided. The results obtained make it possible to run a more in-depth analysis of the examined software systems and objects, and are aimed at improving the quality and effectiveness of managing risks in software projects caused by defects in programs and data.

**Keywords:** fault tree, probability tree, risks of software projects, script analysis, combination rules.

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**DEVELOPMENT OF METHODS FOR STRUCTURAL AND LOGICAL MODEL UNIFICATION OF METAKNOWLEDGE FOR ONTOLOGIES EVOLUTION MANAGING OF INTELLIGENT SYSTEMS (p. 38–47)**

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The relevance of the study is due to the importance and necessity of unifying the construction and use of intelligent decision support systems for managing complex industrial facilities and systems.

The aim of the study is to substantiate the unified approach to managing knowledge bases of various configurations and develop unified mathematical models of operations on ontology elements.

The method for managing the evolution of ontologies of professional fields, based on the unification of the structural-logical model of metaknowledge representation, is proposed.

The method of unification of the structural-logical model of evolution of ontology incorporation is developed. Formal linguistic models are developed, the similarity of forms of knowledge representation and evolutionary inheritance within the general ontology incorporation are proved. For the synthesis of the model of incorporation of the evolutionary inheritance of ontologies, the subtasks of the development of models of the evolutionary inheritance of concepts, graphs and ontologies of KB levels are solved. The model provides an opportunity of a single approach to the interpretation of the interaction structures of concepts for all KB levels.

The generalized model of the signal graph of the KB structure levels is developed. The model includes the atomic concept, signal, node potential, node activity, threshold of node sensitivity to the input signal. A set of formal models of basic operations on the signal graph of the KB necessary for the interpretation and computing of knowledge forms is developed. The metarule syntax and the formal-linguistic basis are developed. Formalisms of the labeling parameter and labeling function of the KB signal graph are introduced. Labeling models are introduced into the general model of the signal graph of the KB.

Possibilities of applying the developed models of the signal graph of the knowledge base to various professional areas are investigated. It is shown that the proposed metaknowledge models do not depend on forms of representation and formalisms of professional ontologies. This allows the use of a single knowledge management mechanism in any intelligent decision support systems. The method of effective dynamic management of the structure of all KB levels and inference process depending on the input parameters of the intelligent system is proposed.

**Keywords:** ontology incorporation, model context, graph labeling, metaproduction, knowledge representation, signal graph, decision support system.

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**SYNTHESIS OF A TREND'S INTEGRAL ESTIMATE  
BASED ON A TOTALITY OF INDICATORS FOR  
A TIME SERIES DATA (p. 48–56)**

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The need for a qualitative estimation of social processes, trends, and activities executed by social governing institutions has been considered. It was determined that the information openness, availability of processing and informational tools create the conditions for efficient administration.

An analysis of trends for a time series in the indicators' dynamics has been performed. The number of priority indicators for analysis and generalization was limited to four, the data were selected from reports by the World Bank, Obozrevatel.ua, and Transparency International. The unified norm has been introduced, which would ensure the comparability of indicators that generate the conclusion on the state, trends, and processes. One of the norms that are applied for Euclidean spaces has been introduced.

The system of basic estimation of the integral indicator has been substantiated, based on data about management efficiency, quality of regulation, political stability, absence of violence and CPI index. Expressions for estimation of prognostic values for the integral indicator were derived. It was proposed to use a lower boundary as the estimate to analyze the efficiency of public administration activities.

Modeling of the processes of estimation of the integral indicator was performed and a five-year time series for the integral indicator was obtained. The relation between an error of the integral indicator, the size of the sliding window, jumps in first and second derivative from a generalized time series and a permissible error has been represented as a limited inequality. It was proposed to introduce the system of representation and mapping of time series in dimensionless, limited spaces by rotation at an angle around the common axis. The definitions were introduced, the theorem about retaining the local values for relative dimensions and errors were introduced. The influence of the quadratic form on a local relative error of the integral indicator has been shown. The prediction of the development was performed and the assessment of public administration activities was given based on the estimate of the integral indicator.

**Keywords:** integral indicator, efficiency of quadratic norm, decomposition norm, constraint on inequality of norm, geometric inequality.

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**DEVELOPMENT OF A METHOD FOR STRUCTURAL OPTIMIZATION OF A NEURAL NETWORK BASED ON THE CRITERION OF RESOURCE UTILIZATION EFFICIENCY (p. 57–65)**

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At present, mathematical models in the form of artificial neural networks (ANNs) are widely used to solve problems on approximation. Application of this technology involves a two-stage approach that implies determining the structure for a model of ANN and the implementation of its training. Completion of the learning process makes it possible to derive a result of the approximation whose accuracy is defined by the complexity of ANN structure. In other words, increasing the ANN complexity allows obtaining a more precise result of training.

In this case, obtaining the model of ANN that implements approximation at the assigned accuracy is defined as the process of optimization.

However, an increase in the ANN complexity leads not only to the improved accuracy, but prolongs the time of computation as well.

Thus, the indicator «assigned accuracy» cannot be used in the problems on determining the optimum neural network architecture. This relates to that the result of the model structure selection and the process of its training, based on the required accuracy of approximation, might be obtained over a period of time unacceptable for the user.

To solve the task on structural identification of a neural network, the approach is used in which the model's configuration is determined based on a criterion of efficiency. The process of implementation of the constructed method implies adjusting a time factor related to solving the problem and the accuracy of approximation.

The proposed approach makes it possible to substantiate the principle of choosing the structure and parameters of a neural network based on the maximum value for the indicator of effective use of resources.

**Keywords:** artificial neural network, structure optimization, approximation of functions, efficiency criterion.

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